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RE: U.S. Serial No. 09/940,509

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Amendment and Reply (13 pgs.)

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Date: February 21, 2006By: Neboish A. Mier**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****PATENT**

Applicants:	Dunning, et al.	Docket No.:	49335.0700
Serial No.:	09/940,509	Examiner:	Bashore, Alain L.
Filed:	August 27, 2001	Group Art Unit:	1762
Title:	SYSTEM AND METHOD FOR CREATING AND ADMINISTERING AN INVESTMENT INSTRUMENT	Confirmation No.:	7398

**AMENDMENT AND REPLY**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Commissioner:

In reply to the Office Action mailed November 30, 2005, of which this Reply is within the shortened three month statutory period for reply. Please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 10 of this paper.

AMPF No. IP200002796

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